JUN. 10. 2002 1:56PM

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JUN 1 0 2002

2879

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PATENT, TRADEMARK, COPYRIGHT AND UNFAIR COMPETITION LAW AND RELATED LITIGATION

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TO:

Commissioner for Patents

Office of Initial Patent Examination's

**Filing Receipt Corrections** 

RE:

Our Ref.: NOR-951A

Serial No. 10/088,464

Conf. No. 8796 Art Unit: 2879

Applicants: Schmitkons et

Pages (including cover page):

<u> 12</u>

FROM:

David H. Brinkman

Fax No.:

(703) 746-9195

Date:

June 10, 2002

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#### **MESSAGE:**

Attachments: Request for Corrected Filing Receipt (3 pages)

Marked Up Replacement Filing Receipt (2 pages)

Marked Up Notice of Acceptance of Application (2 pages)

USPS Delivery Status Sheet (1 page)
Copy of Express Mail Receipt (1 page)
Copy of Return Postcard (1 page)

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David H. Brickman, Reg. No. 40,532

**PATENT** 

Applicants:

Schmitkons et al.

International Application No.:

PCT/US00/25282, Filed September 15, 2000

Serial No.:

10/088,464

Filed:

March 19, 2002

Examiner:

Unknown

Art Unit:

2879

Confirmation No.:

8796

Title:

APPARATUS AND METHOD FOR GENERATING

**ULTRAVIOLET RADIATION** 

Atty Docket:

NOR-951A

Cincinnati, Ohio 45202

June 10, 2002.

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Commissioner for Patents
Office of Initial Patent Examination's
Filing Receipt Corrections
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Sir:

#### REQUEST FOR CORRECTED FILING RECEIPT

Attached is a copy of the Official Filing Receipt received from the PTO in the above-identified application for which issuance of a corrected filing receipt is respectfully requested.

Several errors were noted in the Filing Receipt issued in connection with the above-identified application for which correction is respectfully requested:

- The total number of claims is listed incorrectly as being "11"
   rather than the correct number of "29" as appears in the application.
- 2. The number of drawings is listed incorrectly as being "2" rather than the correct number of "4".
- 3. The filing date is listed incorrectly on the Replacement Filing Receipt and the Notice of Acceptance of Application under 35 U.S.C. 371 and 37 C.F.R. 1.494 or 1.495 as being "03/18/2002" rather than the correct date of "03/19/2002" as appears on all of the documentation filed with the Commissioner of Patents and Trademarks, Box PCT, copies attached. The United States Postal Service inadvertently put an incorrect date of 03/18/02 on the Express Mail Label. As evidenced from the delivery confirmation attached, the United States Patent and Trademark Office received the above-identified application on March 20, 2002, which indicates that the Express Mail was processed on March 19, 2002 by the United States Postal Service.

It is therefore respectfully requested that a new corrected filing receipt be issued to reflect corrections in (i) the total number of claims filed; (ii) the number of drawings; and (iii) the filing date.

It is believed that no fees are due in connection with this correction, however, the Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Account No. 23-3000.

Respectfully submitted,

WOOD, HERRON & EVANS, L.L.P.

BY

David H. Brinkman Reg. No. 46,532

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| APPLICATION NUMBER | FILING DATE | GRP ART UNIT | FIL FEE REC'D | ATTY.DOCKET.NO | DRAWINGS | TOT CLAIMS     | IND CLAIMS |
|--------------------|-------------|--------------|---------------|----------------|----------|----------------|------------|
| 10/088,464         | 03/18/2002  | 2879         | 956           | Nor-951A       | -2-      | <del>-11</del> | 4          |
|                    | 03/19/2002  | <b>Q</b>     |               |                | 4        | 29             |            |

David H Brinkman Wood Herron & Evans 2700 Carew Tower 441 Vine Street Cincinnati, OH 45202-2917 CONFIRMATION NO. 8796
REPLACEMENT FILING RECEIPT
\*OC000000000167780\*

Date Mailed: 05/24/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filling Receipt, please write to the Office of Initial Patent Examination's Filling Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filling Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filling Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filling Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

James W. Schmitkons, Lorain, OH; James M. Borsuk, Westlake, OH;

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A 371 OF PCT/US00/25282 09/15/2000 WHICH CLAIMS BENEFIT OF 60/155,028 09/20/1999

Foreign Applications

Projected Publication Date: Not Applicable, filed prior to November 29,2000

Non-Publication Request; No

Early Publication Request: No

Title

Apparatus and method for generating ultraviolet radiation

**Preliminary Class** 

JUL -2 2002

.<u>=</u>.

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U.S. APPLICATION NUMBER NO. FIRST NAMED APPLICANT ATTY. DOCKET NO.

10/088,464 James W. Schmitkons Nor-951 A

INTERNATIONAL APPLICATION NO

PCT/US00/25282

David H Brinkman
Wood Herron & Evans
2700 Careny Tower

09/15/2000 09/20/1999

Wood Herron & Evans 2700 Carew Tower 441 Vine Street Cincinnati, OH 45202-2917

Date Mailed: 05/21/2002

## NOTICE OF ACCEPTANCE OF APPLICATION UNDER 35 U.S.C 371 AND 37 CFR 1,494 OR 1,495

The applicant is hereby advised that the United States Patent and Trademark Office in its capacity as an Elected Office (37 CFR 1.495), has determined that the above identified international application has met the requirements of 35 U.S.C. 371, and is ACCEPTED for national patentability examination in the United States Patent and Trademark Office.

The United States Application Number assigned to the application is shown above and the relevant dates are:

03/19/2002

03/19/2002

DATE OF RECEIPT OF 35 U.S.C. 371(c)(1), (c)(2) and (c)(4) REQUIREMENTS

DATE OF RECEIPT OF ALL 35 U.S.C. REQUIREMENTS

A Filing Receipt (PTO-103X) will be issued for the present application in due course. THE DATE APPEARING ON THE FILING RECEIPT AS THE "FILING DATE" IS THE DATE ON WHICH THE LAST OF THE 35 U.S.C. 371 REQUIREMENTS HAS BEEN RECEIVED IN THE OFFICE. THIS DATE IS SHOWN ABOVE. The filing date of the above identified application is the international filing date of the international application (Article 11(3) and 35 U.S.C. 363). Once the Filing Receipt has been received, send all correspondence to the Group Art Unit designated thereon.

The following items have been received:

- U.S. Basic National Fee
- Assignee Statement
- · Copy of the International Application
- · Copy of the International Search Report
- Information Disclosure Statements
- · Oath or Declaration
- Request for Immediate Examination

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NO. 4268 P.

Page 2 of 2

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

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FORM PCT/DO/EO/903 (371 Acceptance Notice)

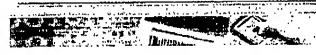


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Applicant(a):

International Application No.: International Filing Date: Priority Date Claimed: Title:

Schmitkons et al. PCT/US00/25282 September 15, 2000

September 20, 1099
APPARATUS AND METHOD FOR GENERATING

ULTRAVIOLET PRADIATION

Enclosures:

Transmittal Letter to the United States Designated/Elected Office (DO/EO/US) concurring a filing Under 35 U.S.C. \$371 (in dupl.); executed Declaration, Power of Attorney, and Petition; Information Disclosure Statement; Form PTO 1449; Copies of References (9); Recordation of Assignment; Executed Assignment; check in the amount of \$998.00 for the filing fee; Certificate of Express Mailing (EV026539530US); and a Return Postcard

Kovin G. Rooney, Esq. (Reg. No. 36,330)

Wood, Herron & Evans, L.L.P. Dated: March 19, 2002

10/088464

IG20 Rec'd PCT/PTO 1 8 MAR 2002

**PATENT** 

Application Based on PCT/US00/25282

Filed:

March 19, 2002

Applicant(s):

James W. Schmitkons et al.

Title:

APPARATUS AND METHOD FOR GENERATING ULTRAVIOLET

RADIATION

Our Ref. No.:

NOR-951A

Cincinnati, Ohio 45202

March 19, 2002

BOX PCT Commissioner of Patents and Trademarks Washington, D.C. 20231

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DATE OF DEPOSIT: March 19, 2002

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\_\_\_\_ Dale Mitchell

Name of person mailing paper or fee

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#### Enclosures:

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Transmittal Letter to the United States Designated/Elected Office (DO/EO/US) Concerning A Filing Under 35 U.S.C. 371 (In Duplicate)

An Executed Declaration, Power of Attorney, and Petition

An Information Disclosure Statement with 9 References

An Assignment of Invention and Patents Thereon

A Check in the Amount of \$996.00 for Filing Fees

A Return Postcard



### UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Dox 1450 Alexandria, Viginia 22313-1450 www.uspio.gov



Bib Data Sheet

**CONFIRMATION NO. 8796** 

| FILING OR 371(c) DATE 03/19/2002 RULE  | C  | <b>CLASS</b><br>313  | GRO   | GROUP ART UNIT<br>2879   |   | ATTORNEY<br>DOCKET NO.<br>Nor-951A   |  |
|--|--|--|---|--|---|--|--|
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| is a 371 of PCT/US00/  | 25282 09   | 9/15/2000  |   |  |   |  | ,  |
| ATIONS **********  | ***  |  |   |  |   |  |  |
| IGN FILING LICENSE   | GRANT  | ED   |   |  |   |  |  |
| Foreign Priority claimed  35 USC 119 (a-d) conditions met  Verified and Acknowledged  Acknowledged |  | STATE OR<br>COUNTRY<br>OH  | SHEETS<br>DRAWING<br>4  |  | TOTAL<br>CLAIMS<br>29   |  | INDEPENDENT<br>CLAIMS<br>4   |
|  | •  |  |   |  |   |  |  |
| d for generating ultravio  | let radiat   | tion .   |   |  |   |  |  |
| FILING FEE RECEIVED No to charge/credit DEPOSIT ACCOUNT No for following:  |  |  |   | All Fees  1.16 Fees (Filing)  1.17 Fees (Processing Ext. of time)  1.18 Fees (Issue)  Other          |   |  |  |
|  | DATE  03/19/2002  RULE  mitkons, Lorain, OH; uk, Westlake, OH; TA ************************************ | DATE 03/19/2002 RULE  mitkons, Lorain, OH; uk, Westlake, OH; TA ************************************ | DATE 03/19/2002 RULE  mitkons, Lorain, OH; uk, Westlake, OH;  A *********************************** | DATE 03/19/2002 RULE  mitkons, Lorain, OH; uk, Westlake, OH;  TA *********************************** | DATE 03/19/2002 RULE  mitkons, Lorain, OH; uk, Westlake, OH;  A *********************************** | DATE 03/19/2002 RULE  mitkons, Lorain, OH; uk, Westlake, OH;  TA *********************************** | DATE 03/19/2002 RULE  CLASS 313  GROUP ART UNIT 2879  Initions, Lorain, OH; uk, Westlake, OH;  A *********************************** |